



DEPARTMENT OF HEALTH & HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICES

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Medicare Fiscal Intermediaries

Report Date: 6/28/2004

FI #: 99999 Sample Contractor

RE: CMS 500-99-0009/0002 PSC CERT - REQUEST FOR MEDICAL RECORDS

OIG Final Request

Dear Doctor/Medicare Provider:

This request for medical records/documentation is sent to you under a federally mandated program to monitor and improve the **accuracy of Medicare payments** to physicians and other providers. This is NOT a fraud investigation. This request for your records is the result of a random selection of billing records. Your cooperation in responding to this information request is essential to assuring and improving the accuracy of your Medicare payments. If you fail to provide the requested information, we will interpret your lack of response as services not rendered, and your local Medicare contractor will be directed to recover Medicare payment for these services.

**Medicare - Comprehensive Error Rate Testing Program**

The purpose of the CERT program is to determine the national, contractor specific, benefit category and provider type paid claim error rates. In accordance with Section 1833 of the Social Security Act, Medicare providers and/or suppliers must provide documentation and medical records to the CERT contractor upon request to support claims for Medicare services.

**Compliant with HIPAA**

The Health Insurance Portability and Accountability Act (HIPAA) does not preclude you from sending requested medical records or documentation. Medicare beneficiaries, upon enrollment in the program, are informed of Medicare's use of their personal health information to carry out health care operations.

**Medical Records/ Documentation Request**

We are requesting medical records regarding the claim that is identified on the enclosed Medical Records/Documentation Attachment Pull List. A Medical Records/Documentation Attachment barcoded cover sheet is included with a control number that corresponds to the record on the Medical Records/Documentation Attachment Pull List. Please submit the **applicable documents** in the following list for the selected claim. Please adhere to the following directions when photocopying, packaging, and mailing the requested records. NOTE: Documents may be FAXED to (804) 864-9980 or (804) 264-3268.

- 1) Complete copies should include specific records to support the services on the claim identified on the Medical Records/Documentation Attachment Pull List, and would include as **applicable** the following documents:

- |  |   |                              |
|--|---|------------------------------|
| - Physician Orders   | - Physicians Progress Notes                                 | - Emergency Room Records     |
| - HCFA Form 485 (Plan of Care)                                     | - Medication Records  | - History and Physical Notes |
| - MDS (Skilled Nursing)  | - Graphic Reports   | - Operative Reports          |
| - Diagnostic Test Results (regardless of where they are performed) | - Initial Intake Assessment (including OASIS - Home Health) | - All Lab Reports            |

## Medicare CERT Operations

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- 1) Applicable Documents (continued).

- Nurses Notes	- Itemized full bill	- Home Health Progress Notes
- Hospice Records	- Verbal Orders	- Progress Notes
- Pathology Reports	- Skilled Nursing Facility Records	- Certificate of Medical Necessity
- Ambulance Records (with mileage)	- Specification of home health agency work week from and through date	- Any additional information pertinent to this medical review
- 2) Photocopy each record. Please make sure all copies are complete, legible, and contain both sides of each page, including page edges. Complete copies should include specific records to support the services on the claim identified on the Medical Records/Claim Attachment Pull List.
- 3) Complete and return the enclosed CERT Operations barcoded Medical Records/Claim Attachment Cover Sheet. A Medical Records/Claim Attachment Cover Sheet should be attached to each set of documentation. If documentation for more than one claim is included in the response, please attach each Medical Records/Claim Attachment Cover Sheet to the appropriate documentation.
- 4) Mail the records to the following CERT Operations address. NOTE: You may FAX records to (804) 864-9980 or (804) 264-3268.

CERT Operations Center  
Attn: Disposition Department - Distribution  
1530 E. Parham Road  
Richmond, VA 23228

We are not authorized to reimburse providers/suppliers for the cost of claims/medical records duplication or mailing. If you use a photocopy service, please ensure that the service does not invoice the CERT Operations Center.

The requested documentation is due **within 15 days of receipt of this letter**. If the requested information is not received within this time period, CERT Operations will assume that the services on the claim were not rendered. Your local Medicare contractor will pursue overpayment recoupment for these undocumented services.

**Failure to send the requested information may also result in a referral to the Medicare contractor fraud unit and to the Office of Inspector General which may exclude from participation in the Medicare and Medicaid individuals and entities which fail to supply payment information which the Department of Health and Human Services finds necessary to determine whether payments are or were due and the amounts thereof. Section 1128(b)(11) of the Social Security Act, 42 U.S.C. § 1320a-7(b)(11).**

Thank you for your cooperation and prompt attention in this matter. If you have questions or comments, please contact the CERT Operations Center at 804-264-1778, ext 164.

Sincerely yours,

John L. Simpson  
Program Director  
CERT Operations Center

Enclosures

# Medicare Fiscal Intermediaries

## FI #: 99999 Sample Contractor

Date of Birth:	1/1/1900
CERT Claim ID (CID):	999998
Claim Date:	6/30/2004
Performing Provider:	999999999999999
Bill Type:	131

<u>Line Item</u>	<u>Revenue</u>	<u>HCPCS</u>	<u>HCPCS</u>	<u>HCPCS</u>	<u>HCPCS</u>	<u>HCPCS</u>	<u>HCPCS</u>
<u>Date</u>	<u>Code</u>	<u>Code</u>	<u>Modifier 1</u>	<u>Modifier 2</u>	<u>Modifier 3</u>	<u>Modifier 4</u>	<u>Modifier 5</u>
1/1/2004	0258	24105	LT				

**Medicare CERT Operations**  
**CMS 500-99-0009/0002 PSC CERT**  
**Medical Records/Claim Attachment Cover Sheet**

**Medicare Fiscal Intermediaries**

**FI #: 99999 Sample Contractor**

**Report Date: 6/28/2004**

Please fill in the requested information below:

Request filled by:

Contact Phone Number:

Fax Number:

Date:


**Please copy both sides of each page and please DO NOT cut off page edges when copying.** Please attach the original copy of this barcoded cover sheet to a copy of the medical record noted below. The record must be clipped or rubber-banded with the original cover sheet in order to ensure proper validation of receipt by the CERT Operations Center. No staples please.



**Please fax documentation to: (804) 864-9980 or (804) 264-3268**

**or send documentation to: CERT Operations Center**

**Attn: Disposition Dept - Distribution**

**1530 E. Parham Road**

**Richmond, VA 23228**

<b>Beneficiary Name:</b>	<b>First M Lastname</b>
<b>Date of Birth:</b>	<b>1/1/1900</b>
<b>Claim Control Number:</b>	<b>999999999999999999999999</b>
<b>HICNUM:</b>	<b>999999999999</b>
<b>Service From/To:</b>	<b>1/1/2004 - 1/1/2004</b>
<b>Provider Number:</b>	<b>9999999999999999 Sample Provider</b>
<b>Sampreas:</b>	<b>99999V00</b>
<b>Contractor Type:</b>	<b>Part A</b>
<b>FI #:</b>	<b>99999 Sample Contractor</b>
<b>Universe Date:</b>	<b>JUN 2004</b>
 <i>Scan Area</i>  	
<b>CID: 999998</b> 	
<b>Mail Sequence: Final Request</b> 